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UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,067	07/23/2001	Michael Loddoch	PRINZ H 1718

CONFIRMATION NO. 2336
FORMALITIES LETTER

HAYES, SOLOWAY, HENNESSEY, GROSSMAN & HAGE, P.C.
 130 W. Cushing Street
 Tucson, AZ 85701



OC00000006520404

Date Mailed: 09/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF LODDOCH et al

APPLN. NO.: 09/911,067

FILED: July 23, 2001

FOR: OPTICAL TRANSCEIVER

GROUP: 2633

EXAMINER: DOCKET: PRINZ H1718

Commissioner of Patents & Trademarks
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND
SUBMISSION OF ASSIGNMENT; PRIORITY DOCUMENT
AND PRIOR ART DISCLOSURE STATEMENT

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed September 6, 2001 (copy attached), submitted herewith is a three (3) page Declaration and Power of Attorney form executed by the inventor(s) with respect to the above-identified patent application.

Also enclosed are the following: a two (2) page Assignment affixed to a completed form PTO-1595; a certified copy of German Patent Application No. 200 13 088.9 in support of Applicant's priority claim under 35 USC 119; a Prior Art Disclosure Statement, with U.S. Patent Office Form PTOL-1449, a copy of the GermanSearch Report and copies of the prior art references listed thereon; and form PTO-2038 authorizing credit card payment in the amount of \$170.00 to cover the \$130.00 surcharge and the \$40.00 assignment recordal fee.

In the event there are any fee deficiencies or additional fees are payable, please charge them (or credit any overpayment) to our Deposit Account No. 08-1391.

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Respectfully submitted,



Norman P. Soloway
Attorney for Applicant
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on October 23, 2001 at Tucson, Arizona.

By: Kim Ford

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